

Nomura Asset Management Europe KVG mbH

Top Five Placement Venues/Brokers

Nomura Asset Management Europe KVG mbH
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(In accordance with Delegated Regulation (EU) 2017/576)

The information below relates only to the financial portfolio management services (Section 20 Para. 3 No. 2 of German Capital Investment Code (Kapitalanlagegesetzbuch, KAGB)), provided by Nomura Asset Management Europe KVG mbH (NAM EU¹) in accordance with MiFID II. Investment funds, for which NAM EU is the management company (Kapitalverwaltungsgesellschaft, KVG), have not been taken into account in this report.

Class of Instrument	Equities				
	Tick size liquidity bands 5 and 6 (from 2000 trades per day)				
Notification if < 1 average trade per business day in the previous year	Yes				
Top five placements venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Instinet Europe Limited (LEI: 213800MXAKR2LA1VBM44)	100,00%	100,00%	N/A	N/A	N/A

Class of Instrument	Equities				
	Tick size liquidity bands 3 and 4 (from 80 to 1999 trades per day)				
Notification if < 1 average trade per business day in the previous year	Yes				
Top five placements venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Instinet Europe Limited (LEI: 213800MXAKR2LA1VBM44)	100,00%	100,00%	N/A	N/A	N/A

Class of Instrument	Equities				
	Tick size liquidity bands 1 and 2 (from 0 to 79 trades per day)				
Notification if < 1 average trade per business day in the previous year	Yes				
Top five placements venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Instinet Europe Limited (LEI: 213800MXAKR2LA1VBM44)	100,00%	100,00%	N/A	N/A	N/A

Class of Instrument	Bonds-Futures				
	Notification if < 1 average trade per business day in the previous year	Yes			
Top five placements venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Société Generale S.A. (LEI: O2RNE8IBXP4R0TD8PU41)	100,00%	100,00%	N/A	N/A	N/A

Class of Instrument	Equities-Futures				
	Notification if < 1 average trade per business day in the previous year	Yes			

Top five placements venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
HSBC Trinkaus & Burkhardt AG (LEI:JUNT405OW8OY5GN4DX16)	100,00%	100,00%	N/A	N/A	N/A