

Nomura Asset Management Europe KVG mbH

## Summary of investor rights

(1 August 2021)

The legal relationship between Nomura Asset Management Europe KVG mbH (the "KVG") and the investor as well as the pre-contractual relationships are governed by German law.

Nomura Asset Management Europe KVG mbH has undertaken to participate in dispute resolution proceedings before a consumer arbitration board. Consumers are natural persons who invest in the fund for a purpose that can predominantly be attributed neither to their commercial nor to their self-employed professional activity, i.e. who act for private purposes. In the event of disputes, consumers may call upon the "Ombudsstelle für Investmentfonds" of the BVI Bundesverband Investment und Asset Management e.V. as the competent consumer arbitration board. Nomura Asset Management Europe KVG mbH participates in dispute resolution proceedings before this arbitration board. The contact details of the "Ombudsstelle für Investmentfonds" are:

Büro der Ombudsstelle BVI  
Bundesverband Investment und Asset Management e.V.  
Unter den Linden 42  
10117 Berlin  
Phone: (030) 6449046-0  
Fax: (030) 6449046-29  
E-mail: [info@ombudsstelle-investmentfonds.de](mailto:info@ombudsstelle-investmentfonds.de)  
[www.ombudsstelle-investmentfonds.de](http://www.ombudsstelle-investmentfonds.de)

The right to appeal to the state courts remains unaffected by a dispute resolution procedure. The registered office of Nomura Asset Management Europe KVG mbH shall be the place of jurisdiction for actions brought by the investor against the KVG arising from the contractual relationship.

In addition, consumers have the collective redress mechanism under Section 606 of the German Code of Civil Procedure (ZPO) (model declaratory action, *Musterfeststellungsklage*) at their disposal. The German Capital Markets Model Case Act (*KapMuG*) also enables model cases for aggrieved investors to facilitate the enforcement of their claims for damages.